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SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: August 1, 2005

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Docket No. AUS920010562US1

Serial No. 09/918,563

Atty: LAV / JVL

Applicant: Barillaud

☒ Transmittal Letter (2 copies)

☒ Certificate of Facsimile

☐ Amendment (pages)

☐ Notice of Appeal

☐ Amendment AF

☐ Appeal Brief (3 copies)

☐ Ext. of Time

☐ Reply Brief

☒ IDS Statement

☐ Change of Address

☒ Form PTO-1449 (Modified)

☐ Other

Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other \$180.00

Atty Ref. No. 1033

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|  Joseph T. Van Leeuwen | <u>8/1/05</u> Date |

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

For: System and Method for Providing Efficient and Secure Data Exchange Using Strip Information Elements


Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 5 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
 - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
 - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By 
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Atty Ref. No. 1033

Aug 01 2005 6:34PM Van Leeuwen & Van Leeuwen 512-301-6742

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|---|--------------------------------------|
| In re application of: | : | Group Art Unit: 2151 |
| Barillaud | : | Intellectual Property Law Department |
| Serial No.: 09/918,563 | : | International Business |
| Filed: July 31, 2001 | : | Machines Corporation |
| Title: <u>System and Method for Providing</u> | : | Intellectual Property Law Dept. |
| <u>Efficient and Secure Data Exchange</u> | : | 11400 Burnet Road |
| <u>Using Strip Information Elements</u> | : | Austin, Texas 78758 |

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

08/03/2005 MBINAS 00000000 090447 09918563


01 FC:1806 180.00 DA

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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Page 1 of 1

Any Ref. No. 1033

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In Place of FORM PTO-1449 (Modified)

Serial No. 09/918,563**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**Applicant: BarillaudFiling Date: 7/31/2001Group: 2151Atty. Docket No. AUS920010562US1**U. S. PATENT DOCUMENTS**

| Examiner <u>Initial</u> | Document <u>Number</u> | <u>Date</u> | <u>Name</u> | Classification <u>Class / Subclass</u> | Filing Date if <u>Appropriate</u> |
|----------------------------|---------------------------|-------------|-------------------|---|--------------------------------------|
| _____ | AA 6,338,042 | 01-2002 | Paizis, James N. | 705/11 | |
| _____ | AB 6,754,874 | 06-2004 | Richman, Ira S. | 715/507 | |
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| _____ | AI | | | | |
| _____ | AJ | | | | |
| _____ | AK | | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner <u>Initial</u> | Document <u>Number</u> | <u>Date</u> | <u>Country</u> | Classification | Translation <u>Yes No</u> |
|----------------------------|---------------------------|-------------|----------------|----------------|------------------------------|
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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